## Monthly Status Report for Complaints of September 2024

## Complaints received directly:

| Complaint<br>against | Pending at<br>the beginning<br>of the month | Received<br>during the<br>month | Resolved<br>during the<br>month | Pending at<br>the end of<br>the month | No. of<br>complaints<br>pending for<br>more than 21<br>Days |
|----------------------|---|---------------------------------|---------------------------------|---------------------------------------|---|
| Depository           | 20  | 76                              | 82                              | 14                                    | 0   |
| DPs                  | 212   | 591                             | 566                             | 237                                   | 3   |
| <b>RTAs/Issuers</b>  | 6   | 12                              | 8                               | 10                                    | 0   |
| Total                | 238   | 679                             | 656                             | 261                                   | 3   |

Complaints referred by SEBI:

| Complaint<br>againsts                            | Grievance<br>pending at<br>beginning of<br>month | Grievance<br>received<br>during the<br>month | Grievance<br>resolved<br>during the<br>month | Grievance<br>pending at<br>the end of<br>month | Grievance<br>pending for<br>more than<br>21 days |
|--|--|--|--|--|--|
| Complaints<br>referred by<br>SEBI against<br>DP  | 55   | 134  | 147  | 42   | 0  |
| Complaints<br>referred by<br>SEBI against<br>RTA | 0  | 0  | 0  | 0  | 0  |
| Total  | 55   | 134  | 147  | 42   | 0  |

## Nature of Complaints Received:

| Nature of<br>Complaints<br>Received with<br>regard to | Pending at<br>the beginning<br>of the month | Received<br>during the<br>month | Resolved<br>during the<br>month | Pending at<br>the end of<br>the month | No. of<br>complaints<br>pending for<br>more than 21<br>Days |
|---|---|---------------------------------|---------------------------------|---------------------------------------|---|
| Account Opening<br>Related (I)                        | 9   | 21                              | 17                              | 13                                    | 0   |
| Demat / Remat<br>Related (II)                         | 8   | 11                              | 10                              | 9                                     | 0   |
| Transaction<br>Statement Related<br>(III)             | 14  | 19                              | 24                              | 9                                     | 0   |
| Improper Service<br>Related (IV)                      | 72  | 222                             | 214                             | 80                                    | 1   |
| Charges Related<br>(V)                                | 16  | 20                              | 30                              | 6                                     | 0   |
| Delivery<br>Instruction Related<br>(DIS) (VI)         | 12  | 15                              | 18                              | 9                                     | 2   |
| Closure (VII)   | 32  | 124                             | 107                             | 49                                    | 0   |
| Manipulation /<br>Unauthorised<br>Action (VIII)       | 26  | 27                              | 40                              | 13                                    | 0   |
| Company / RTA<br>Related (IX)                         | 7   | 15                              | 16                              | 6                                     | 0   |
| Others (X)  | 97  | 339                             | 327                             | 109                                   | 0   |
| Total   | 293   | 813                             | 803                             | 303                                   | 3   |